



## The City & County of Swansea

**EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel**

**Ref:**

### **THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS**

**1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –**

a. Awarding Body	Welsh Government (WG)
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	Increasing Welsh-medium Provision – Capital Grant Application
c. Grant value in Total £	£4.85m
d. Grant period / timescale for delivery	2019/20-2021-22
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	Yes. Welsh Government.
f. Detail links to existing schemes at Local, Regional or National level	WG's and the Council's 21 <sup>st</sup> Century Schools Strategic Outline Programme – including Band A.
g. Detail direct links to Council Policy, including, where appropriate, target areas	Corporate Priorities; <ul style="list-style-type: none"> <li>• Safeguarding people from harm</li> <li>• Improving education and skills</li> <li>• Tackling poverty</li> <li>• Transformation and Future Council development</li> </ul> WG's and the Council's 21 <sup>st</sup> Century Schools Strategic Outline Programme – including Band A. QEd Programme Welsh Education Strategic Plan
h. List key target and proposed performance measures	<ul style="list-style-type: none"> <li>• Provision of 'seed' classes through pre-school provision to further attract pupils to the Welsh-medium sector and aide transition</li> <li>• bringing forward proposals to relocate <b>YGG Tirdeunaw and adding up to a further 105 places</b></li> <li>• <b>adding up to a further 105 places to YGG Tan-y-lan</b></li> <li>• in consideration of the proposed catchment area reviews, addressing the other short term pressures through the provision of additional capacity at;</li> </ul>

	<ul style="list-style-type: none"> <li>○ <b>YGG Bryn-y-môr (up to 60 places)</b></li> <li>○ <b>YGG Y Login Fach (up to 60 places)</b></li> </ul>
<p>i. <b>For EUROPEAN schemes</b> confirm that the scheme has been developed in conjunction with the European Unit – Yes /No (<i>delete</i>)</p>	<p>(attach email confirmation from the European Unit) N/A</p>
<p>j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes / No</p>	<p>Yes – through continuation of existing revenue budgets - continued maintenance of buildings and likely increase in NNDR. Schools are funded from an overall delegated budget – the Individual Schools Budget (ISB).</p>
<p>k. Does the application require match-funding? Yes/No if it does where is this coming from?</p>	<p>No</p>
<p>l. Will the project entail the employment of additional staff and on what basis?</p>	<p>No</p>
<p>M. Have you completed an EIA (<b>E</b>quality <b>I</b>mpact <b>A</b>ssessment) Screening Form (please attach)?</p>	<p>Yes. An Equality Impact Assessment (EIA) screening has been completed.</p>
<p>N. Is a full EIA report required?</p>	<p>Whilst there are no specific equality or engagement implications at this stage in the process, all projects that would be the result of this submission to Welsh Government would be subject to the EIA process (which incorporates the UNCRC) with the likelihood that a full EIA report would be required for each project.</p>

## 2. Please complete the following financial information:

**Indicative spend profile for the Welsh-medium schemes (elements thereof) subject to the Welsh-medium grant application.**

Schools are funded from an overall delegated budget – the Individual Schools

<b><u>CAPITAL COSTS</u></b>	<b>2018/19</b>	<b>2019/20</b>	<b>2020/21</b>	<b>2021/22</b>	<b>TOTAL</b>
<u>Expenditure</u>					
YGG Bryn-y-mor		963,000	12,000		975,000
YGG Login Fach		567,500	7,500		575,000
YGG Tan-y-lan		1,035,000	1,035,000	30,000	2,100,000
YGG Tirdeunaw		591,000	591,000	18,000	1,200,000
<b>EXPENDITURE</b>	<b>0</b>	<b>3,156,500</b>	<b>1,645,500</b>	<b>48,000</b>	<b>4,850,000</b>
<u>Financing</u>					
To be funded 100% funded by Welsh Government (subject to grant application approval)					
<b>FINANCING</b>	<b>0</b>	<b>3,156,500</b>	<b>1,645,500</b>	<b>48,000</b>	<b>4,850,000</b>

Budget (ISB). There is a funding formula that allocates a budget share to each individual school from the ISB. **There will be future maintenance costs** which will be assessed on a project basis and reported in FPR7 reports. An appropriate maintenance budget should be set by schools. There is may be an increase in NNDR for new buildings.

	Current financial year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:	0	0	0	0	0	0	0
Capital	0	3,156,500	1,645,500	48,000		4,850,000	0
Revenue	0	0	0	0	0	0	0
<b>Grant applied for:</b>	0	0	0	0	0	0	0
Capital	0	3,156,500	1,645,500	48,000		4,850,000	0
Revenue	0						0
<b>Match Funding Internal</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Match Funding External</b>	<b>0</b>	3,156,500	1,645,500	48,000		4,850,000	<b>0</b>

3. Please complete the following:

<p>a. Does the funding meet the Council's priorities?</p>	<p>Yes/No</p> <p>Detailed in the report to Cabinet of the 20 July 2017 and the Council's 21<sup>st</sup> Century Schools Strategic Outline Programme (SOP), and the report to Cabinet of the 21 June 2018 which sets out the next steps required to progress the approved commitments within the Welsh Education Strategic Plan (WESP), as reflected in the approved 21<sup>st</sup> Century Schools Band B Programme, and where appropriate approve the commencement of formal statutory consultation.</p>
<p>b. What are the expected outcomes and are they clear and achievable? (link to 1h)</p>	<p>Yes/No</p> <ul style="list-style-type: none"> <li>• Provision of 'seed' classes through pre-school provision to further attract pupils to the Welsh-medium sector and aide transition</li> <li>• bringing forward proposals to relocate <b>YGG Tirdeunaw and adding up to a further 105 places</b></li> <li>• <b>adding up to a further 105 places to YGG Tan-y-lan</b></li> <li>• in consideration of the proposed catchment area reviews, addressing the other short term pressures through the provision of additional capacity at;             <ul style="list-style-type: none"> <li>○ <b>YGG Bryn-y-môr (up to 60 places)</b></li> <li>○ <b>YGG Y Login Fach (up to 60 places)</b></li> </ul> </li> </ul>
<p>c. Is there an exit plan? (link to 1j/l)</p>	<p>Yes/No</p> <p>For the projects linked to Band B the indicative funding contributions are subject to the final approval of WG through the submission of further detailed business cases in respect of each specific project. Further reports will come to Cabinet as appropriate for each project within the approved programme, which will include developed cost plans for each project following the further development of options appraisals and detailed design.</p>

<p>d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)</p>	<p>A regional collaborative procurement process to cover South Wales Local Authorities has been developed, (the South West Wales Regional Contractors Framework (SWWRCF)) to engender a joined up approach to procurement and to ensure consistency and minimise duplication and inefficiencies throughout the process.</p> <p>For Band A, where the project design has been more complex in nature or greater in scale, the Council has taken a two-stage approach with the opportunity for early contractor involvement (ECI). This has assisted in relation to buildability advice and the continued client interface until certainty of cost and programme is achieved, while reducing the overall design and construction programme.</p> <p>The success of this approach is dependent on ensuring that the quality of the information provided to the contractor is robust, including carrying out as much survey work upfront to de-risk the design process to provide greater certainty on cost, quality, programme and transfer of risk. For YGG Tan-y-lan and YGG Tirdeunaw we propose to seek to de-risk projects further. To protect the Council's position in relation to the design, the design team will take the project through to planning application stage (RIBA (Royal Institute of British Architecture) Stage 3), and then the contractor will develop the design from there on.</p> <p>The two smaller projects are proposed to be delivered by the Council's internal contractor via a single tender approach, as previously been approved by our external auditors, and fulfilling stipulated grant criteria. We will continue to ensure best value throughout the process.</p>
<p>e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?</p>	<p>QEd Programme Governance; QEd Delivery Group – QEd Programme Board – Senior Leads Board – CMT - Cabinet</p>

<p>f. What are the major risks and how will they be managed?</p>	<p><b>Risks – see QEd Programme Board Reports for full list of escalated risks.</b></p> <ul style="list-style-type: none"> <li>• In spite of proactive stakeholder engagement, issues can arise in relation to the detailed delivery of specific projects</li> <li>• Unforeseen costs arising; this risk has been mitigated as far as practical at this stage through the application of cost plans consistent with WG cost benchmarking and optimism bias based on Building Bulletin standards</li> </ul> <p><b>These will be managed through:</b></p> <ul style="list-style-type: none"> <li>• Maintaining a consistent and coherent long term strategy</li> <li>• Robust options appraisals and objective assessment of priority order / phasing of works</li> <li>• Early statutory consultation processes</li> <li>• At risk feasibility and design works, drawing down local match contributions</li> </ul>
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**4. Authorisation**

	Name / Signature	Date
Responsible Officer:	Brian Roles	25/06/18
(Principal)/Accountant:		
External Funding Panel Endorsed /Not Endorsed		